

17th January, 2022

Ref. No.: WOCK/SEC/SE/2021-22/119

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol – WOCKPHARMA</u>
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Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 31st December, 2021.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



Debashis Dey
Company Secretary

Encl: As above

Wockhardt Limited**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: Wockhardt Limited			
2.	Scrip Code/Name of Scrip/Class of Security: 532300			
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending as on 31/12/2021		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment		
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?		No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)*		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a %	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	13	74395342	0	0	74395342	67.1344	74395342	0	74395342	67.1344	0	67.1344	0	0	35207000	47.3241	74395342
(B)	Public	165257	36420161	0	0	36420161	32.8656	36409861	0	36409861	32.8556	0	32.8656	0	0	NA	NA	35873662
(C)	Non Promoter - Non Public				0				0			0		0	0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	165270	110815503	0	0	110815503	100	110805203	0	110805203	99.99	0	100	0	0	35207000	31.7708	1.1E+08

*Promoters have so far pledged total 31.77% of total share capital of the Company (comprising of 3,52,07,000 equity shares) on various dates. Total Pledge created during the quarter is 36,50,000 equity shares on various dates. Pledge has been released during the

Wockhardt Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a) ¹		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)	(XIV)		
1	Indian																		
(a)	Individuals / Hindu Undivided Family		5	906091	0	0	906091	0.8177	906091	0	906091	0.8177	0	0.8177	0	0	0	0	906091
	Habil F Khorakiwala	Promoters	1	459451	0	0	459451	0.4146	459451	0	459451	0.4146	0	0.4146	0	0	0	0	459451
	Murtaza Habil Khorakiwala	Promoters	1	226200	0	0	226200	0.2041	226200	0	226200	0.2041	0	0.2041	0	0	0	0	226200
	Huzaifa Habil Khorakiwala	Promoters	1	216000	0	0	216000	0.1949	216000	0	216000	0.1949	0	0.1949	0	0	0	0	216000
	Nafisa Habil Khorakiwala	Promoters	1	2640	0	0	2640	0.0024	2640	0	2640	0.0024	0	0.0024	0	0	0	0	2640
	Miqdad H Khorakiwala	Promoters	1	1800	0	0	1800	0.0016	1800	0	1800	0.0016	0	0.0016	0	0	0	0	1800
	Zahabiya Khorakiwala	Promoters	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		8	73489251	0	0	73489251	66.3168	73489251	0	73489251	66.3168	0	66.3168	0	0	35207000	47.9077	73489251
	Promoter Trust²		4	4193294	0	0	4193294	3.784	4193294	0	4193294	3.784	0	3.784	0	0	0	0	4193294
	Themisto Trustee Company Private Limited	Promoter Group Entity	1	2300000	0	0	2300000	2.0755	2300000	0	2300000	2.0755	0	2.0755	0	0	0	0	2300000
	Callirhoe Trustee Company Private Limited	Promoter Group Entity	1	720578	0	0	720578	0.6503	720578	0	720578	0.6503	0	0.6503	0	0	0	0	720578
	Ananke Trustee Company Private Limited	Promoter Group Entity	1	672716	0	0	672716	0.6071	672716	0	672716	0.6071	0	0.6071	0	0	0	0	672716
	Pasithe Trustee Company Private Limited	Promoter Group Entity	1	500000	0	0	500000	0.4512	500000	0	500000	0.4512	0	0.4512	0	0	0	0	500000
	Partnership Firm³		4	69295957	0	0	69295957	62.5327	69295957	0	69295957	62.5327	0	62.5327	0	0	35207000	50.8067	69295957
	Themisto Trustee Company Private Limited	Promoter Group Entity	1	59495957	0	0	59495957	53.6892	59495957	0	59495957	53.6892	0	53.6892	0	0	35207000	59.1754	59495957
	Pasithe Trustee Company Private Limited	Promoter Group Entity	1	3400000	0	0	3400000	3.0682	3400000	0	3400000	3.0682	0	3.0682	0	0	0	0	3400000
	Ananke Trustee Company Private Limited	Promoter Group Entity	1	3200000	0	0	3200000	2.8877	3200000	0	3200000	2.8877	0	2.8877	0	0	0	0	3200000
	Callirhoe Trustee Company Private Limited	Promoter Group Entity	1	3200000	0	0	3200000	2.8877	3200000	0	3200000	2.8877	0	2.8877	0	0	0	0	3200000
	Bodies Corporate⁴																		
	Dartmour Holdings Private Limited	Promoter Group Entity	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Palanpur Holdings and Investments Private Limited	Promoter Group Entity	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Khorakiwala Holdings and Investments Private Limited	Promoter Group Entity	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(1)		13	74395342	0	0	74395342	67.1344	74395342	0	74395342	67.1344	0	67.1344	0	0	35207000	47.3242	74395342
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)		13	74395342	0	0	74395342	67.1344	74395342	0	74395342	67.1344	0	67.1344	0	0	35207000	47.3242	74395342

1. Promoters have so far pledged total 31.77% of total share capital of the Company (comprising of 3,52,07,000 equity shares) on various dates. Total Pledge created during the quarter is 36,50,000 equity shares on various dates. Pledge has been released during the quarter on 98,000 equity shares on various dates.

2. The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust respectively.

3. The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithea Consultants and HNZ Consultants respectively.

4. Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities)	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)	(XIII)	(XIV)			
1	Institutions																	
(a)	Mutual Fund	5	29364	0	0	29364	0.0265	29364	0	29364	0.0265	0	0	0	0	0	29364	
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Alternate Investment Funds	2	64320	0	0	64320	0.058	64320	0	64320	0.058	0	0	0	0	0	64320	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e)	Foreign Portfolio Investor	76	3258832	0	0	3258832	2.9408	3258832	0	3258832	2.9408	0	0	0	0	0	3257632	
(f)	Financial Institutions / Banks	5	830	0	0	830	0.0007	830	0	830	0.0007	0	0	0	0	0	330	
(g)	Insurance Companies	2	1475	0	0	1475	0.0013	1475	0	1475	0.0013	0	0	0	0	0	75	
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(i)	Any Other (Specify)	2	10200	0	0	10200	0.0092	10200	0	10200	0.0092	0	0	0	0	0	10200	
	Foreign Bank	2	10200	0	0	10200	0.0092	10200	0	10200	0.0092	0	0	0	0	0	10200	
	Sub Total (B)(1)	92	3365021	0	0	3365021	3.0366	3365021	0	3365021	3.0366	0	0	0	0	0	3361921	
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-Institutions																	
(a)	Individuals		0	0														
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	158603	24047222	0	0	24047222	21.7002	24047222	0	24047222	21.7002	0	0	0	0	0	23510723	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	20	4753566	0	0	4753566	4.2896	4753566	0	4753566	4.2896	0	0	0	0	0	4753566	
	Jhunjhunwala Rakesh Radheshyam		2500005	0	0	2500005	2.256	2500005	0	2500005	2.256	0	0	0	0	0	2500005	
(b)	NBFCs registered with RBI	1	546	0	0	546	0.0005	546	0	546	0.0005	0	0	0	0	0	546	
	Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Any Other (Specify)	6541	4253806	0	0	4253806	3.8386	4243506	0	4243506	3.8293	0	0	0	0	0	4246906	
	IEPF	1	197798	0	0	197798	0.1785	197798	0	197798	0.1785	0	0	0	0	0	197798	
	Trusts	4	1115	0	0	1115	0.001	1115	0	1115	0.001	0	0	0	0	0	1115	
	Hindu Undivided Family	3375	1079628	0	0	1079628	0.9743	1079628	0	1079628	0.9743	0	0	0	0	0	1079228	
	Non Resident Indians (Non Repat)*	784	250358	0	0	250358	0.2259	250358	0	250358	0.2259	0	0	0	0	0	247958	
	Other Directors / Relatives	3	11000	0	0	11000	0.0099	11000	0	11000	0.0099	0	0	0	0	0	11000	
	Non Resident Indians (Repat)*	1520	1026510	0	0	1026510	0.9263	1026510	0	1026510	0.9263	0	0	0	0	0	1024710	
	Body Corp-Ltd Liability Partnership	39	61042	0	0	61042	0.0551	61042	0	61042	0.0551	0	0	0	0	0	61042	
	Unclaimed Shares	1	10300	0	0	10300	0.0093	0	0	0	0.0093	0	0	0	0	0	10300	
	Clearing Member	162	346898	0	0	346898	0.313	346898	0	346898	0.313	0	0	0	0	0	346898	
	Bodies Corporate	652	1269157	0	0	1269157	1.1453	1269157	0	1269157	1.1453	0	0	0	0	0	1266857	
	Sub Total (B)(3)	165165	33055140	0	0	33055140	29.829	33044840	0	33044840	29.819	0	0	0	0	0	32511741	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	165257	36420161	0	0	36420161	32.8656	36409861	0	36409861	32.8556	0	0	0	0	0	35873662	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
54	10300

*2,50,358 nos. of Equity shares fall under the category of Non Resident Indians (Non Repat) and 10,26,510 nos. of Equity shares fall under the category of Non Resident Indians (Repat).

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)				(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).